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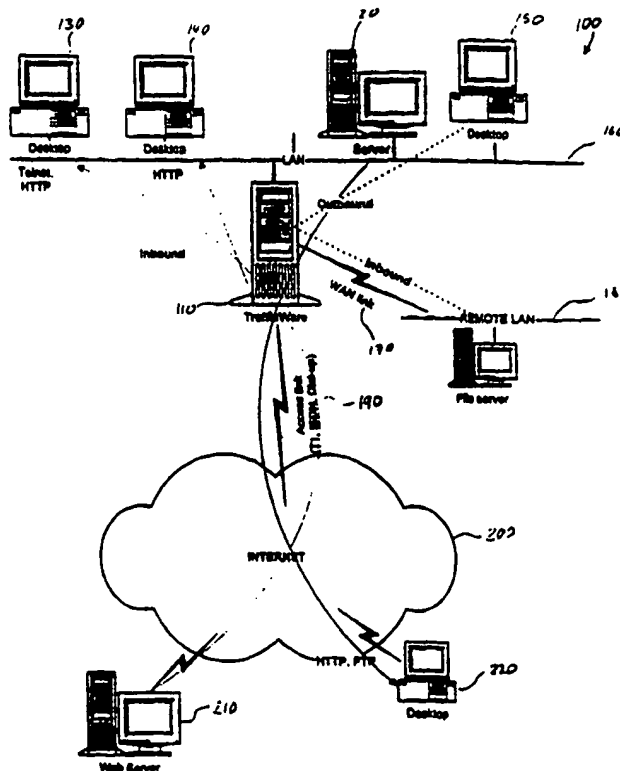
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(54) Title: TRAFFIC MONITORING TOOL FOR BANDWIDTH MANAGEMENT

(57) Abstract

A method and system (100) for monitoring or profiling quality of service within a network of computers. The method includes a step of providing a network of computers, each being coupled to each other to form a local area network. The network of computers has a firewall server (110) coupled to the network of computers and a traffic management tool coupled to the firewall server. The method also includes implementing traffic monitoring or profiling of incoming and outgoing information.



SCHEMATIC OF QOS SYSTEM DEPLOYED FOR INTERNET ACCESS AND A PRIVATE WAN

TRAFFIC MONITORING TOOL FOR BANDWIDTH MANAGEMENT

RELATED APPLICATIONS

This present application claims priority to U.S. Serial No. _____ (Attorney Docket No. 018430-000300) filed December 5, 1997, and U.S. Serial No. 60/047,752 filed May 27, 1997, which are both hereby incorporated by reference for all purposes.

BACKGROUND OF THE INVENTION

The present invention relates to communication or telecommunication. More particularly, the present invention provides a technique, including a method and system, for monitoring and allocating bandwidth on a telecommunication network at, for example, a firewall access point. As merely an example, the present invention is implemented on a wide area network of computers or workstations such as the Internet. But it would be recognized that the present invention has a much broader range of applicability including local area networks, a combination of wide and local area networks, and the like.

Telecommunication techniques have been around for numerous years. In the early days, people such as the American Indians communicated to each other over long distances using "smoke signals." Smoke signals were generally used to transfer visual information from one geographical location to be observed at another geographical location. Since smoke signals could only be seen over a limited range of geographical distances, they were soon replaced by a communication technique known as telegraph. Telegraph generally transferred information from one geographical location to another geographical location using electrical signals in the form of "dots" and "dashes" over transmission lines. An example of commonly used electrical signals is Morse code. Telegraph has been, for the most part, replaced by telephone. The telephone was invented by Alexander Graham Bell in the 1800s to transmit and send voice information using electrical analog signals over a telephone line, or more commonly a single twisted pair copper line. Most industrialized countries today rely heavily upon telephone to facilitate communication between businesses and people, in

upgraded to X2 modems, 56K modems, ADSL or DMT modems, ISDN service and modems, cable TV service and modems, and the like. Drawbacks to these solutions include that they typically require additional network service; they also require additional hardware and/or software, and further they require both the sender and receiver to both agree on using the same hardware and/or software. Although one user may have a much faster line or faster modem, another user may still rely on the same 1,200 kbaud modem. So, the speed at which information moves from one location to another location is often determined by the slowest information which is being transferred over the network. Accordingly, users of faster technology are basically going nowhere, or "running" nowhere fast, as is commonly stated in the network industry.

From the above, it is seen that a technique for improving the use of a wide area network is highly desirable.

SUMMARY OF THE INVENTION

The present invention relates to a technique, including a method and system, for providing more quality to telecommunication services. More particularly, the present invention relates to quality of service management using a novel traffic monitoring technique. The present monitoring technique is predominantly software based, but is not limited to such software in some embodiments.

In a specific embodiment, the present invention provides a system with a novel graphical user interface for monitoring a flow of information coupled to a network of computers. The user interface is provided on a display. The display has at least a first portion and a second portion, where the first portion displays a graphical chart representing the flow of information. The second portion displays text information describing aspects of the flow of information. The combination of the first portion and the second portion describe the information being profiled.

In an alternative specific embodiment, the present invention provides a novel computer network system having a real-time bandwidth profiling tool. The real-time bandwidth profiling tool has a graphical user interface on a monitor. The graphical user interface includes at least a first portion and a second portion. The first

realized by reference to the remaining portions of the specification, drawings, and attached documents.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a simplified diagram of a system according to an embodiment of the present invention;

Fig. 2 is a simplified block diagram of system architecture according to an embodiment of the present invention;

Fig. 3 is a simplified diagram of a traffic management cycle according to an embodiment of the present invention;

Figs. 4-7 are simplified diagrams of systems according to various embodiments of the present invention;

Fig. 8 is a simplified flow diagram of a rule-based control method according to the present invention; and

Figs. 9-15 are simplified representations of graphical user interfaces for monitoring traffic according to the present invention.

DESCRIPTION OF SPECIFIC EMBODIMENTS

An embodiment of the present provides integrated network service policies for firewall platforms, as well as other platforms or gateways. Specifically, the present invention provides network or firewall administrators with the ability to implement policy-based schema for security and resource management on firewall platforms. In a specific embodiment, resource management includes Network Quality of Service (QoS) or "bandwidth" management techniques. In an exemplary embodiment, the present invention provides tools for monitoring traffic for bandwidth management, as well as other functions.

Network QoS occurs by managing the resources that serve network application traffic, for example. This typically includes the following resources: link bandwidth, application server bandwidth (CPU), and buffer space on generally all nodes (end-points, routers and gateways). Typically, data through-put is limited by the speed of Internet access links and by the server CPU capacity, and response time is

associated with the expectations and perceptions of end-users and the organization they are part of.

3. **Bandwidth:** Bandwidth usually refers to maximum available bit rate for a specific application. In a specific embodiment, synchronous, interactive, and real-time applications, which are bandwidth-sensitive, can require minimum bandwidth guarantees, and can require sustained and burst-scale bit-rates. On the other hand, network administrators may want to limit bandwidth taken by non-productive traffic such as push technologies like PointCast and others. Even though bandwidth may be allocated for specified applications, it does not mean that these applications may be using that bandwidth. Therefore, a good policy should be to enforce when there is competition and demand.

4. **Latency:** Latency generally refers to the delay experienced by a packet from the source to destination. Latency requirements are typically specified as mean-delay and worst case delay in some cases. Real-time audio/video applications such as, for example, DNS, HTTP, and TELNET are delay sensitive. Delay is a result of propagation delay, due to physical medium and queuing at intermediate nodes such as routers, gateways, or even servers. A certain portion of the delay can be controlled by how the queues are serviced at the intermediate nodes, and by controlling congestion at bottleneck points. Some examples of delay measures are packet round-trip delay and connection response time.

5. **Jitter:** Jitter generally refers to variation in delay (e.g., that is, the delay is not constant for all packets of a given flow) for a particular application. Real-time applications require a worst case jitter. Applications such as real-audio and video do some advanced buffering to overcome any variation in packet delays - the amount of buffering is determined by the expected jitter.

6. **Packet Loss:** Packet loss is a loss in a packet or a portion of packets that is generally caused by failure of network elements (e.g., routers, servers) to forward or deliver packets. Packet loss is usually an indication of severe congestion, overload of an element, or element failure (e.g., if a server is down). Even if the packet was not dropped

SYSTEM OVERVIEW

Fig. 1 illustrates a simplified system 100 according to an embodiment of the present invention. The system 100 is merely an illustration and should not limit the scope of the claims herein. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. The present invention can be embodied as a TrafficWare™ firewall server 110 from Ukiah Software, Inc, but can be others. System 100 typically includes a file server 120, and a plurality of computers 130-150, coupled to a local area network (LAN) 160, and other elements. Firewall server 110 includes a typical connection to a wide area network (WAN) 170 and to a remote LAN 180 (such as an Intranet) and a typical network connection 190 to the Internet 200. Attached to Internet 200 are Web servers 210 and other computers 220.

As illustrated, computers such as computer 130, 140, and 210 communicate using any one or multiple application layer protocols such as Telnet, file transfer protocol (FTP), Hypertext transmission protocol (HTTP), and the like. Further, communication across WAN 170 and across network connection 190 implements transport layer protocols such as transmission control protocol (TCP), universal data protocol (UDP), and the like. LAN 160 and LAN 180 are preferably based upon network protocols such as Internet protocol (IP), IPX from Novell, AppleTalk, and the like. As shown in Fig. 1, network connection 190 may be accomplished using T1, ISDN, Dial-up, and other hardware connections. Computers 120-150 and 210-220 may be any suitable make or model of computer that can be coupled to a network. The system can also include a variety of other elements such as bridges, routers, and the like.

In an alternative specific embodiment, the present invention may be applied to a system with various links accessed in servicing a browser request at a remote web server. In this embodiment, a client could be dialing in via a 28.8kbit dial up modem to a local Internet service provider (ISP), where the ISP may be connected to the Internet by a T1 link. A web server may be on a 10 Mbs Ethernet LAN, which is connected to another ISP via a 56 K frame relay. The web server's ISP may be connected to its carrier via a T3 line. The client ISP carrier and the server ISP carrier may both be connected by an ATM backbone or the like. Because of this asymmetry in this embodiment, any traffic management solution should take into account these variations including traffic speed and data format described

3. Bursty versus non-bursty.

These categories are merely illustrative and should not limit the scope of the claims herein. Additionally, some application requirements are dependent on the context of use and the nature of data being accessed. Such applications can be described as being nominally interactive or nominally bandwidth intense. This means the description applies to many but not all the situations in which they are used.

As merely an example, Table 2 provides some illustrations for these categories.

Application Class	Examples
Low-bandwidth, delay sensitive, highly interactive	DNS, PING, TELNET, CHAT, COLLABORATION
High bandwidth, delay sensitive	Real-time audio and video
High Bandwidth, nominally interactive	Web service requests, file downloads
Non-interactive	Mail and news

Table 2: Application Spectrum

As shown in Table 2, low-bandwidth, delay sensitive, and highly interactive applications include, among others, DNS, PING, TELNET, CHAT, COLLABORATION. High bandwidth and delay sensitive applications including at least real-time audio and video. Additional applications for high bandwidth and nominally interactive, or non-interactive have also been shown. Again, these applications are merely provided for illustration and should not limit the scope of the claims herein.

The present invention can also be used with a number of various files. For example, a number of common applications, such as FTP and HTTP, can handle a wide variety of files. The file types being transferred and downloaded place different demands on the underlying infrastructure. Index and HTML files take up limited bandwidth but have very mundane contents. On the other hand, GIF, JPEG and MPEG, RA and AVI files take up a lot more bandwidth but provide a rich multimedia experience to the end-user. In fact, push

described in terms of a specific type of information, other types of information on a network can also be used with the present invention. Additionally, the present invention has been described in general to a specific system. For instance, the present bandwidth management tool can be applied at a network's Internet access link. Alternatively, the present tool can be applied to a private WAN link to a remote corporate site or an access to a server farm (e.g., a group of servers located in a special part of the network close to an access link, e.g., in a web hosting environment). Alternatively, the present invention can be applied to key servers (e.g., database/web server) within an organization servicing internal and/or external users. Furthermore, the present bandwidth management tool can be applied to any combination of the above or the like.

Fig. 2 is a simplified block diagram 200 of details of system architecture according to an embodiment of the present invention. The block diagram is merely an illustration and should not limit the scope of the claims herein. The architecture includes a variety of layers that each interface to each other as depicted by the layers. The system includes a network layer 211, which interfaces to incoming and outgoing information to the network. The network can be one of a variety including, among others, Ethernet and Token Ring. A physical layer 209 is disposed above the network layer 211. The physical layer can be personal computers, which are commonly called PCs, or network interface computers, which are commonly called NCs, or alternatively workstations. As merely an example, a personal computer can be an IBM PC compatible computer having a '586-class based microprocessor, such a Pentium™ from Intel Corporation, but is not limited to such a computer or processor. An operating system ("OS") is used on the computer such as WindowsNT™ from Microsoft Corporation, but can also be other OSs. The system is also coupled to a graphical user interface ("GUI") 201 and is coupled to directory services such as, for example, LDAP, but can be others. A detailed discussion of directory services is described in U.S. Application Serial Nos. _____, (Attorney Docket Nos. 18430-1-1, 18430-1-2, 18430-2-3) which are commonly assigned, and hereby incorporated by reference for all purposes.

Directory services 224 and GUI 201 couple to an application programming interface ("API") 223. The API is coupled to a traffic management or bandwidth management tool 208 with at least three modules, including a policy engine module 231, a

scope of the claims herein. As shown, Fig. 3 is a simplified diagram 300 of a traffic management cycle according to an embodiment of the present invention. The traffic management cycle is depicted as a continuous cycle, which includes a monitoring phase 301, a creating/applying policy phase 303, and a reporting/alarming phase 305, but is not limited to these cycles. That is, these cycles can be separated or combined depending upon the application. By way of this cycle, the tool can adapt to any changes to the networking system according to the present invention.

In an aspect of the present invention, the present tool can monitor and control activities at various times, e.g., seconds, days, weeks, months, years. Some details with regard to these control activities are shown below under the headings.

1. Second to second

The tool provides second to second time scale monitoring and control of incoming and outgoing traffic over the network. As merely an example, the tool ensures that critical or more important traffic gets a right of way during traffic bursts and provides bandwidth enforcement. Multiple users of the network at a specific time can cause the traffic burst. Alternatively, multiple sessions on the network at a specific time can cause the traffic burst. Once the traffic burst is detected, the tool has a control device, which provides bandwidth enforcement to ensure that the more important traffic gets through the network.

2. Day to day

The tool provides day to day time scale monitoring and control of incoming and outgoing traffic over the network. As merely an example, the tool manages time of day congestion, and responds to intermittent problems or perceived problems. The tool generally deals with problems or limitations that are very specific and isolated to particular users or particular services at particular times that need to be tracked down quickly.

3. Week to week

The tool provides week to week time scale monitoring and control of incoming and outgoing traffic over the network. The tool analyzes traffic usage performance patterns, what services or hosts are active on the network, and troubleshoots chronic problems. In

customers and the present tool sits by the Internet link and manages inbound and outbound traffic.

2. Web Hosting Deployment

Fig. 5 is a simplified diagram 500 of the present tool in a web hosting environment according to the present invention. The diagram 500 includes a variety of elements such as a LAN BackBone 501, which is coupled to network elements including web servers 503, 511, 513, and others. The present tool 505 is coupled between LAN 501 and router 507, which is connected to the Internet 509. In the present embodiment, the tool is being used to manage inbound and outbound traffic between some Websites and the Internet. In a specific embodiment, most of the data being transmitted is multimedia-based, but is not limited as such data.

3. End-User Deployment

Fig. 6 is a simplified diagram 600 of the present tool in a campus environment according to the present invention. The diagram 600 includes a variety of features such as a campus network 601, which is coupled to network elements such as a desktop PC 603, a UNIX computer 617, an NT Server 615, a web server 613, directory services 611, and others. A bandwidth management tool 605 is coupled between campus network 601 and router 607, which is coupled to Internet 609. In this embodiment, a LAN or WAN supports a number of different setups and configurations, which are compete for bandwidth to access the Internet. The present tool acts as an arbitrator for implementing rules, enforcing policies, and setting admissions for classes, as well as perform other acts.

4. Private WAN

Fig. 7 is a simplified diagram 700 of the present tool deployed for a large corporation that has an Intranet as well as an Internet. The diagram 700 includes a variety of elements or "children" such as a connection to Frankfurt 715, a connection to London 713, a connection to Hong Kong 717, and a connection to Paris 719. Each connection or child includes a router 705A, E, D, C, and the present tool 703A, E, D, C, which is coupled between the router and the hub ("HQ"). In a WAN-based environment, for example, HQ 701

application, presentation, session, transport, and network. The FAST module also provides for measurement 219 of various parameters. The FAST module is coupled to the API.

2. FAIR Module (Flow Analysis and Intelligent Regulation)

The FAIR module generally implements traffic control and manages bandwidth of incoming and outgoing information to and from the network or link. Flow Analysis and Intelligent Regulation ("FAIR") implements traffic control based on a combination of flow control and queuing algorithms. FAIR's objective provides inbound and outbound traffic management for meaningful time intervals, reducing the load on packet classifiers and packet schedulers. The FAIR module controls 205 incoming and outgoing information to and from the network. Additionally, the FAIR module controls 205 by parameters 215 such as class, session, burst, packet, and others. The FAIR module also controls time 217 of allocating bandwidth for these parameters. The FAIR module is coupled to the API.

3. Policy Engine Module

The policy engine module 231 oversees the FAST and FAIR modules. The engine module also interfaces with the API. In an embodiment, the policy engine module includes a security policy 201, a traffic policy 202, and other policies 221. The security policy provides parameters for securing the present tool. The traffic policy defines specific limitations or parameters for the traffic.

Some definitions about the various modules have been described above. These definitions are not intended to be limiting. One of ordinary skill in the art would recognize other variations, modifications, and alternatives. Additionally, the modules described are generally provided in terms of computer software. Computer software can be used to program and implement these modules, as well as others. The modules can be combined or even separated, depending upon the applications. Functionality of the modules can also be combined with hardware or the like. In a specific embodiment, the present modules are implemented on an WindowsNT™ operating system, which has been developed by Microsoft Corporation. Of course, other operating systems can also be used. Accordingly, the present modules are not intended to be limiting in any manner.

In an embodiment, the present tool can be configured based upon at least the

Setting priorities - establishing a priority order for bandwidth limiting or servicing traffic from a class. (That is, high priority classes are serviced first and are affected the least during contention for bandwidth. Lower priority classes are serviced in order of priority and may be more affected by congestion or contention);

Admission control- establishing conditions under which a new network session or service request is admitted or not admitted. (This kind of policy establishes a broad bandwidth control or service quality for sessions already admitted).

As shown, the present invention provides policies such as bandwidth guarantees, bandwidth limits, setting priorities, admission control, and others. It may assist the reader in understanding some of the terms used in the policies by drawing an analogy with a geographical highway for automobiles. For example, bandwidth relates to how fast one can go (e.g., fast or slow lane) once a user has entered the stream of traffic on the highway. That is, the physical limit for speed in the specific lane chosen. Priority is analogous to how quickly the user is able to enter the highway and move into a designated lane, and how often the user may have to temporarily give way to other vehicles during the drive. Admission control is analogous to the metered lights at the entrance of the freeway where one is made to wait under certain conditions. Of course, depending upon the applications other analogies can be used to explain the policies. Additionally, the policies are merely examples and should not limit the scope of the claims herein.

3. Traffic Rules

A rule generally includes a traffic class and a policy associated with the class. A class can have several policies that apply at different time intervals. 'Rule' is also used to refer to the policy or to a specific row in the present tool user interface. The present tool user interface is described in, for example, U.S. Application No. _____ (Attorney Docket No. 18430-000300, commonly assigned, which is hereby incorporated by reference for all purposes.)

Additionally, monitoring of selected entities (e.g., users, services) may also be useful.

In a further embodiment, the present tool provides some general guidelines of some commonly used applications. These guidelines should be used in conjunction with business driven priorities, traffic profiling, and selective real-time monitoring to establish an effective traffic policy. Selected guidelines are defined as follows, but are not limited to these.

- Delay-sensitive low bandwidth applications, such as TELNET and DNS, are controlled best by setting a high priority policy. The present tool can give the highest priority to all network control traffic, such as QoS signaling, session establishment, domain lookup and routing protocols.
- Streaming multimedia applications, such as Real Audio/Video and Vxtreme, can hog allot of bandwidth but are also delay and bandwidth sensitive. If they are not critical, they are controlled best by setting a high priority and a policy to limit admission of sessions so that bandwidth use is capped but admitted sessions have a reasonable quality.
- Push technologies, such as PointCast and Marimba, download large files, are not delay or bandwidth sensitive and usually not business critical. They are best controlled by a limiting bandwidth policy and a low priority.
- Bulk-data non-interactive applications, such as SMTP and NNTP, should be guaranteed a small bandwidth minimum so that they are not totally squeezed out by congestion or control policies.

These terms include, among others, "rules" and "classes" and "policies." Rules can be created for very specific groups of flows or more general groups of flows, which are commonly all the stuff that transmits to and from a link to a gateway point. Groups of flows are also referred to as traffic classes, but are not limited to such classes. Classes also can be defined by source, destination, application, file types, URLs, and other features. Policies can be specified to control traffic flows in terms of overall bandwidth guarantees, bandwidth limits, priority of service, how individual sessions within a class are serviced or admitted, and other aspects. The present tool also has intelligent policy validation that prevents users from defining any contradictory or ambiguous rules. Policy validation is generally a higher level check used by way of the present method.

The present method occurs at start, which is step 801, for example. In general, a flow of information or data or packets of information enter a gateway point, where the present tool sits. The present method classifies (step 803) the flow of information. Groups of flows can be referred to as traffic classes, but are not limited to such classes. Classes also can be defined by source, destination, application, file types, URLs, and other features. Other examples of classes were previously noted, but are not limited to these classes. In general, step 803 classifies the flow of information received into one of a plurality of predetermined classes.

The present tool measures parameters for each of the classes in step 805, which were received, for example. These parameters are based upon the policy or rule, which may be applied in a later step. As merely an example, parameters include the class itself, file sizes, and other information, which can be used by the policy or rule to apply the policy or rule to improve the quality of service for the network. After measuring the parameters, the present method applies a time stamp (step 807) on the parameters to correlate the class of information received to a time, for example.

A step of determining whether to apply a policy occurs in the next step 809. For example, if the class and the time (and the link state in some embodiments) meet predetermined settings, the policy is applied to the class in step 811 through branch 810. Alternatively, if one of the elements including the class, the time, or the link state do not meet the predetermined settings, the policy does not apply and the process continues to measure parameters through branch 808. Alternatively, the process continues to measure parameters

engine.

- Click the Save As 909 button to save the respective data to a log file. The data is saved as tab-separated text.

Each of the present user interfaces also includes function keys 901 and a tool bar 903. Upon selecting the profiles tab, a profiles light or display indication illuminates 911. As shown, the main profiles tab also includes tabs for services 913, server 915, and client 917. Additional features of the various tabs including the services tab, the server tab, and the client tab are described below and refer to Figs. 9, 10, and 11, respectively, but are not limited to these descriptions.

1. Services Tab

Fig. 9 is a simplified diagram 900 of a representation of a graphical user interface for a services tab according to the present invention. In particular, the dialog box displays cumulative traffic statistics for selected applications. The services tab, which can be selected by default, provides the following information:

Service Name

This field 919 shows what services (e.g., All Services, FTP, HTTP, SMTP, POP3, SSL) the network uses. Summary statistics for all services (e.g., inbound or outbound) are also shown. Traffic from services that are not recognized by the present tool are indicated as 'Others'.

Direction

This field 919 indicates whether the service is inbound or outbound.

Note: Inbound and Outbound refer to the direction of data flow, not the request.

Kb Transferred

server.

Time

This field (not shown) indicates the last time the service was active.

2. Server Tab

Fig. 10 is a simplified diagram 1000 of a representation of a graphical user interface for a server tab according to the present invention. Upon selecting or clicking the server tab 915, screen 1000 appears. The dialog box displays cumulative traffic statistics for every active server. The server tab provides the following information, but is not limited to such information:

Server

This field 1001 shows the server host name, URL or IP address. Summary statistics for all servers are also shown.

Note:

- In one aspect of the invention, the present tool can profile up to 256 servers. Subsequent traffic from new servers are indicated as 'Others'.
- Host names can also be displayed in some embodiments.

Kb Transferred

This field 1003 shows the amount of data transferred from the server. As shown, the amount of data can be in kilobits transferred. Additionally, the amount of data can be referred to as a percentage of all services.

Round Trip Time

This field 1005 indicates an average round trip delay for packets sent to the server. The round trip time is in milliseconds, but is not limited to this time. The minimum and maximum round trip time is also shown in parenthesis.

3. Client Tab

Fig. 11 is a simplified diagram 1100 of a representation of a graphical user interface for a client tab according to the present invention. When the client tab 917 is selected or is clicked using a user interface, screen 1100 appears. The dialog box displays the cumulative traffic statistics for the clients. The client tab provides the following information, but is not limited to such information:

Client

This field 1101 shows the client host name or IP address. Summary statistics for all clients are also shown.

Note: The present tool can profile up to 256 clients in some embodiments. Subsequent traffic from the clients are indicated as 'Others'.

Kb Transferred

This field 1103 shows the amount of data transferred to the client. As shown, the amount of data can be in kilobits transferred. Additionally, the amount of data can be referred to as a percentage of all services.

Round Trip Time

This field 1105 indicates an average round trip delay for packets from this client. The round trip time is in milliseconds, but is not limited to this time. The minimum and maximum round trip time is also shown in parenthesis.

Connect Response Time

This field 1105 indicates the average time to establish a session from the client. The connect response time is in milliseconds, but is not limited to this time. The minimum and maximum connect response time is also shown in parenthesis.

'Administrative Tools' Program group and select counters for monitoring incoming and outgoing traffic from a link.

Fig. 12 is a simplified graphical user interface 1200 to launch a performance monitoring tool according to the present invention. This interface is merely an illustration and should not limit the scope of the claims herein. A method for launching the present tool occurs, in part, by selecting or clicking on the performance monitor tab 1201. The display shows available traffic classes 1201 (e.g., FTP, HTTP, PointCast), which have been defined in the traffic policy. Note that a traffic class is not a rule. There may be more than one rule that belongs to the same traffic class. Traffic classes are created when rules are edited. A traffic class is defined by at least a source, destination, and service properties. The display includes a group of option buttons 1207 titled monitor, which allows a user to specify whether the user wants to monitor bandwidth consumption 1209, connect time 1211, or connect retries 1213 for the selected classes. A prompt box 1215 above the option buttons 1207 provides a brief explanation of the selected option. A Launch button 1205 launches the performance monitor too. To launch the present performance monitor tool:

1. Select one or more traffic classes 1203 in the list.
2. Choose monitor by clicking on an appropriate option button (e.g., bandwidth consumption, response time, failures) 1207 in the monitor group.
3. Push launch button 1205.

As merely an example, Fig. 13 is a simplified graphical user display 1300 for bandwidth consumption according to the present invention. As shown, the Fig. is an example of Class Bandwidth 1305 monitoring for a few services 1307 such as FTP, HTTP, etc. over a 56 Kbit Internet link. The vertical axis 1302 illustrates a bandwidth scale from "0" to "56.0" kbits and the horizontal axis represents time 1306. The plurality of line plots 1304 each represent one of the services 1307, which are each color coded 1301 for easy reading by the user. The display also includes an object 1309 and a computer 1311, which is being used to

the computer to be monitored 1507, the object 1509, the counter 1511, and the instance 1514.

Depending on the types of parameters being monitored or profiled, specific visual details of the plots or charts are also selected. These details include the plot color 1513, the plot width 1519, the plot style 1517, and others. A counter definition 1515 is also made or selected.

Once all the changes have been made or selected, the user can add the changes to be monitored by the tool by pressing or selecting the add button 1501. Alternatively, the user may start over by selecting the cancel button 1503. If the user would like an explanation on any one of the features described in the tool, the user may select either the explain button 1505 or the help button 1506. Of course, this user interface is merely an example and should not be limiting in any manner outside the spirit and scope of the claims.

In yet an alternative aspect, the present monitoring or profiling tool has a save feature for storing the chart or plot. In particular, the present tool can save snapshots of measurements to a disk file or the like. As merely an example, the present tool saves snapshots using the following sequence of steps, which should not be construed as limiting:

Go to view/log in the tool to configure a log file;

Add measurements to the file and start and/or stop logging.

Furthermore, the present tool provides congestion, utilization, and performance degradation reports, which make day to day troubleshooting much simpler and serve to justify or validate policy setting decisions. For example, a chronic problem affecting a service through a day period (i.e., 24 hour) can be monitored by a combination of real-time monitoring, which will be described in more detail below, and congestion reports. By monitoring and using the reports, it may be determined that the affected service is not getting its due share of bandwidth, or a limitation exists with the server or in the Internet backbone.

Conclusion

In the foregoing specification, the invention has been described with reference to specific exemplary embodiments thereof. Many changes or modifications are readily envisioned. For example, the present invention can be applied to manage a variety of TCP/IP network traffic types for the Internet and Intranet. Further, the techniques

WHAT IS CLAIMED IS:

1 1. A graphical user interface for monitoring a flow of information coupled
2 to a network of computers, said graphical user interface comprising:
3 a display comprising at least a first portion and a second portion, said
4 first portion comprising a graphical chart representing said flow of information, said second
5 portion comprising text information describing said flow of information.

1 2. The interface of claim 1 wherein said graphical chart comprises
2 bandwidth consumption.

1 3. The interface of claim 2 wherein said bandwidth consumption is a plot
2 of bandwidth consumed against time.

1 4. The interface of claim 2 wherein said bandwidth consumption is a
2 plurality of plots, each of said plots representing consumed bandwidth against time.

1 5. The interface of claim 2 wherein said flow of information comprises one
2 of a plurality of traffic classes.

1 6. The interface of claim 1 wherein graphical chart comprises a plot of
2 failure rates against time.

1 7. The interface of claim 1 wherein said graphical chart comprises a plot
2 of delay rates against time.

1 8. The interface of claim 1 wherein said display is outputted on a
2 computer monitor.

2 selected from a graph, a histogram, a bar chart, and a pie chart.

1 18. A network management method, said method comprising steps of:
2 measuring a data rate for a flow of information from an incoming source
3 coupled to a network of computers;
4 categorizing said data rate from said flow of information based upon at least
5 one of a plurality of traffic classes;
6 outputting a visual representation of said data rate in graphical form on a
7 display; and
8 outputting a text representation of said one of said plurality of traffic classes on
9 said display.

1 19. The method of claim 18 wherein said data rate is a baud rate.

1 20. The method of claim 18 wherein said visual representation is a real time
2 histogram of said data rate.

1 21. The method of claim 18 wherein said text representation comprises text
2 for said one of said plurality of traffic classes.

1 22. A computer system comprising a bandwidth profiling tool, said
2 bandwidth profiling tool being stored in computer memory, said computer memory
3 comprising:
4 a first code that is directed to measuring a data rate for a flow of information
5 from an incoming source coupled to a network of computers;
6 a second code that is directed to categorizing said data rate from said flow of
7 information based upon at least one of a plurality of traffic classes;
8 a third code that is directed to outputting a visual representation of said data
9 rate in graphical form on a display; and
10 a fourth code that is directed to outputting a text representation of said one of
11 said plurality of traffic classes on said display.

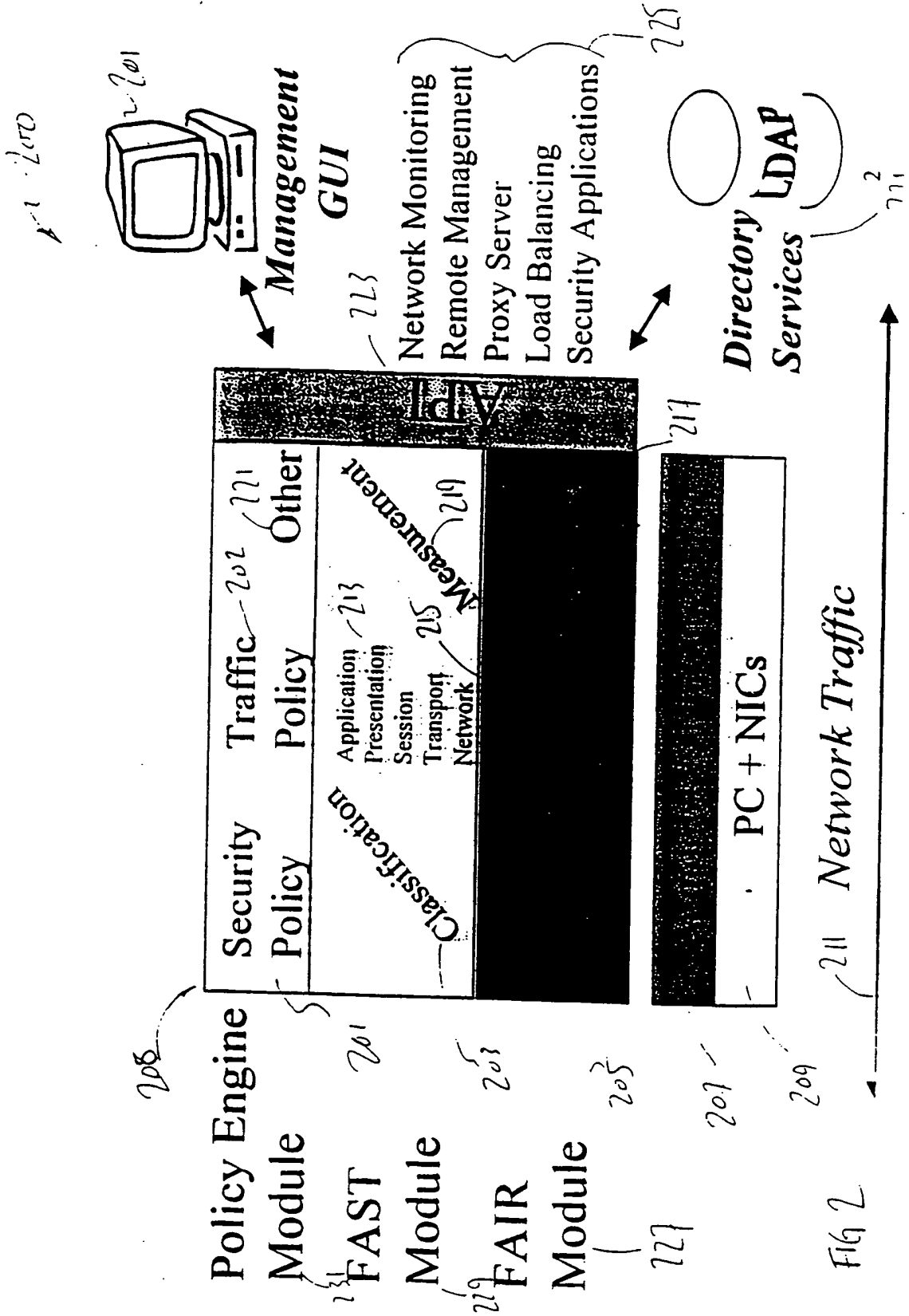


Fig 2

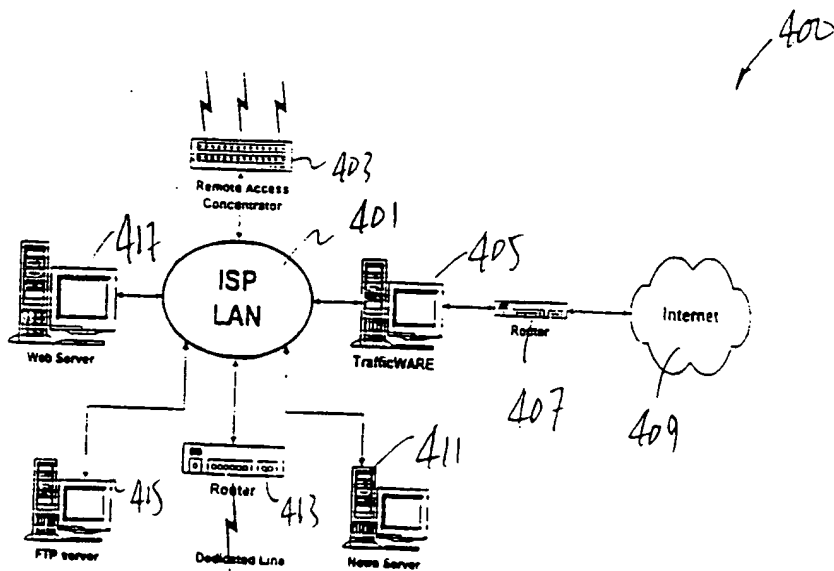


FIG. 4

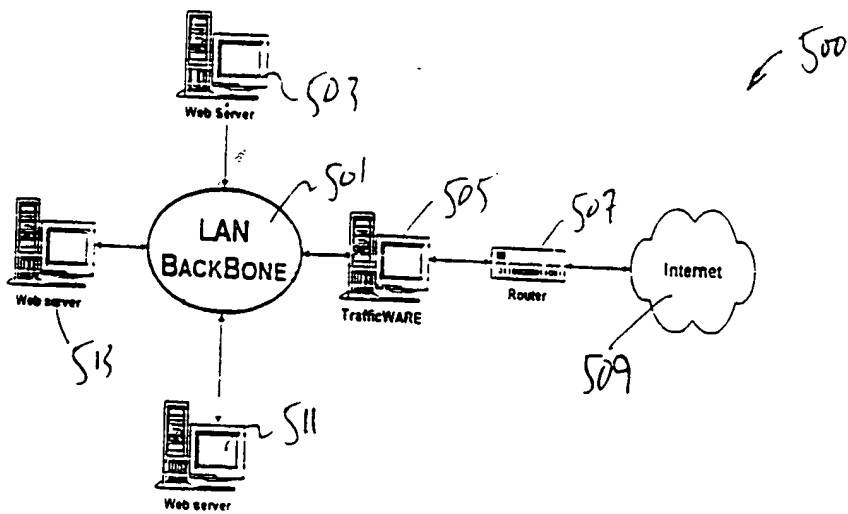


FIG. 5

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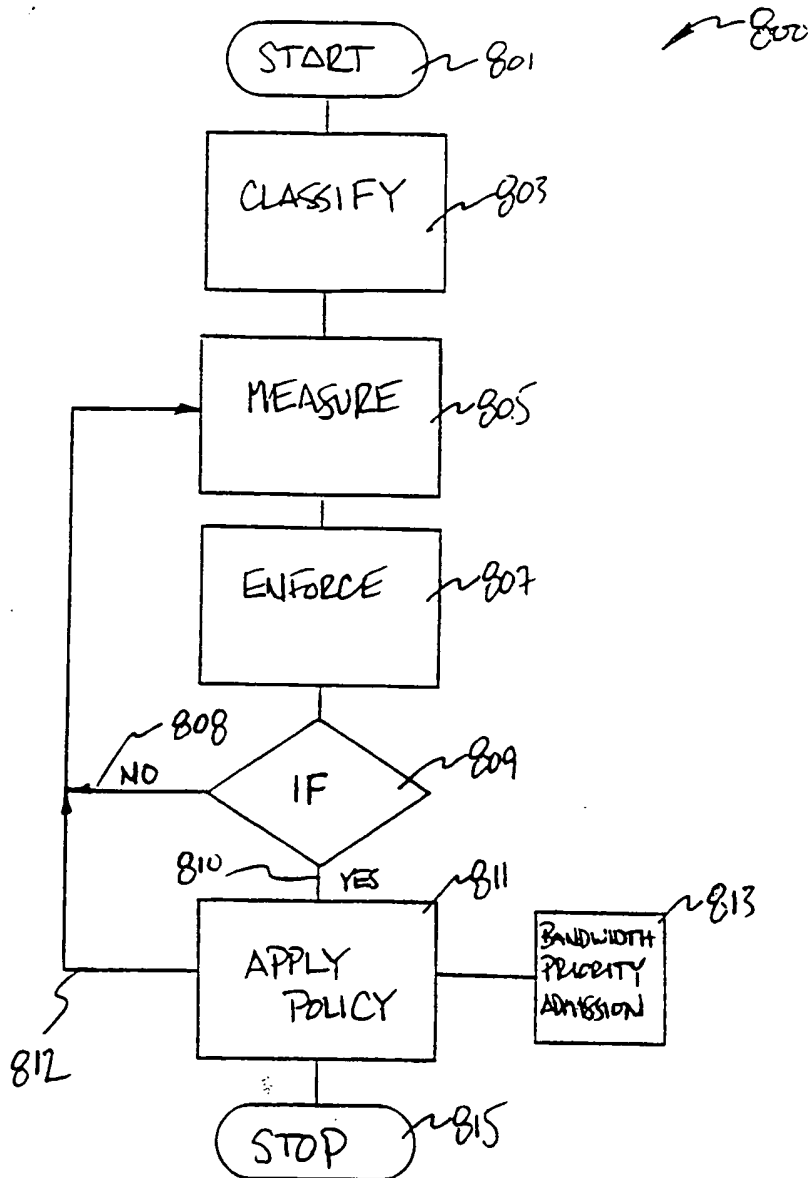


FIG. 8

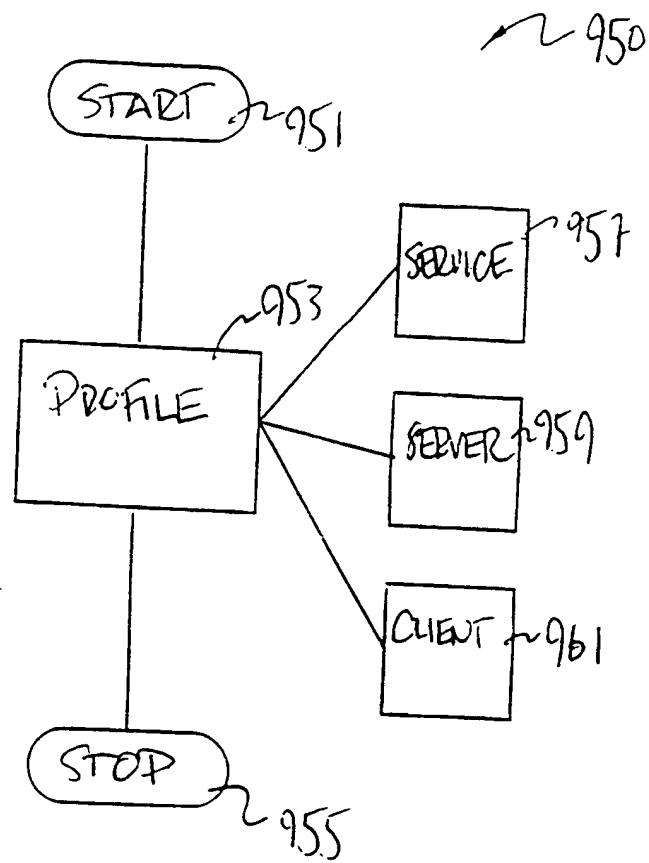


FIG. 9A

10/11

21202

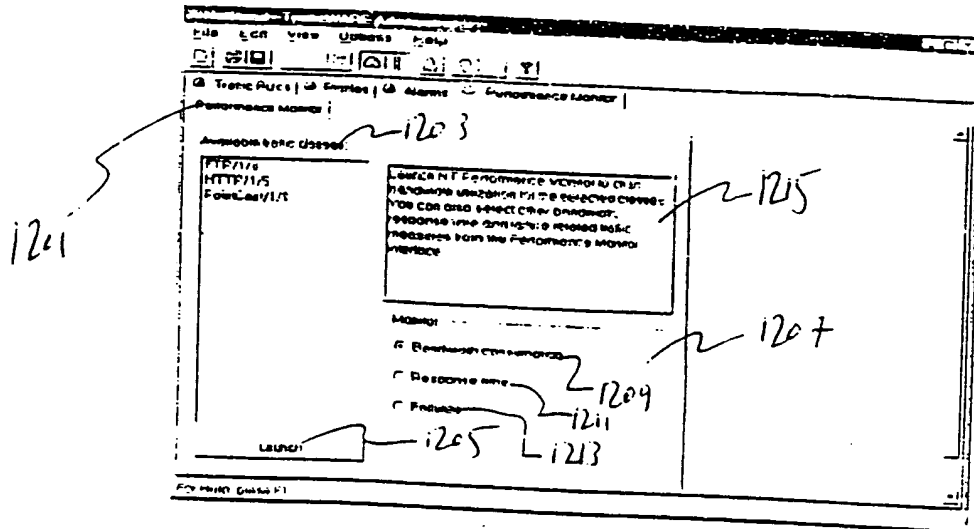


Fig. 12

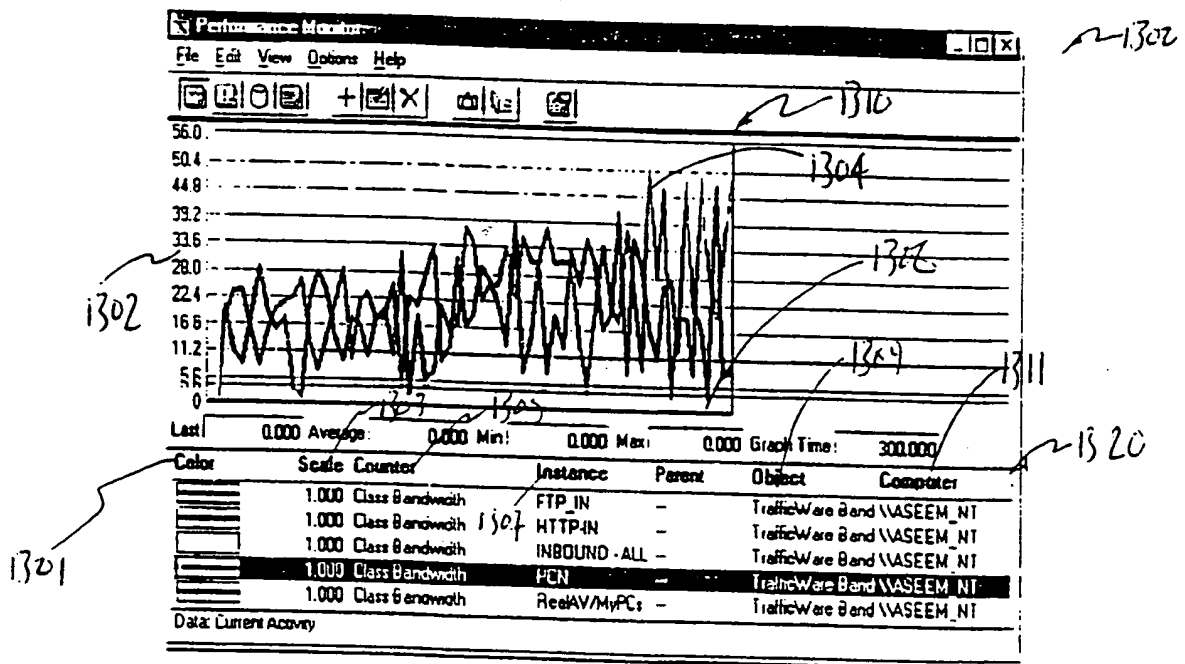


Fig. 13

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/27396

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : H04J 3/14

US CL : 709/224; 370/252

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 709/224; 370/252; 345/326, 329, 440

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

bandwidth (p) (chart# or graph#) (p) display?

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E,X	US 5,867,483 A (ENNIS, JR. et al.) 02 February 1999, see Abstract, figures 11, 13-15.	1-22
X	US 5,615,323 A (ENGEL et al.) 25 March 1997, abstract, figures 2-3.	1-22
X	US 5,664,105 A (KEISLING et al.) 02 September 1997, abstract. col.2 lines 37-60.	1-22

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
B earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

10 MAY 1999

Date of mailing of the international search report

26 MAY 1999

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

D.DINH

Telephone (703) 305-9600

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